



Baseline characteristics of patients enrolled in the Exenatide Study of Cardiovascular Event Lowering (EXSCEL)

Robert J. Mentz, MD,^a M. Angelyn Bethel, MD,^b Stephanie Gustavson, PhD,^c Vivian P. Thompson, MPH,^a Neha J. Pagidipati, MD, MPH,^a John B. Buse, MD, PhD,^d Juliana C. Chan, MD,^e Nayyar Iqbal, MD,^c Aldo P. Maggioni, MD,^f Steve P. Marso, MD,^g Peter Ohman, MD, PhD,^c Neil Poulter, FMedSci,^h Ambady Ramachandran, MD,ⁱ Bernard Zinman, MD,^j Adrian F. Hernandez, MD, MHS,^a and Rury R. Holman, FRCP, FMedSc^b *Durham, NC; Oxford, London, UK; Gaithersburg, MD; Chapel Hill, NC; Hong Kong, SAR, China; Florence, Italy; Dallas, TX; Chennai, India; and Ontario, Canada*

Background EXSCEL is a randomized, double-blind, placebo-controlled trial examining the effect of exenatide once-weekly (EQW) versus placebo on time to the primary composite outcome (cardiovascular death, nonfatal myocardial infarction or nonfatal stroke) in patients with type 2 diabetes mellitus (DM) and a wide range of cardiovascular (CV) risk.

Methods Patients were enrolled at 688 sites in 35 countries. We describe their baseline characteristics according to prior CV event status and compare patients with those enrolled in prior glucagon-like peptide-1 receptor agonist (GLP-1RA) outcomes trials.

Results Of a total of 14,752 participants randomized between June 2010 and September 2015, 6,788 (46.0%) patients were enrolled in Europe; 3,708 (25.1%), North America; 2,727 (18.5%), Latin America; and 1,529 (10.4%), Asia Pacific. Overall, 73% had at least one prior CV event (70% coronary artery disease, 24% peripheral arterial disease, 22% cerebrovascular disease). The median (IQR) age was 63 years (56, 69), 38% were female, median baseline HbA1c was 8.0% (7.3, 8.9) and 16% had a prior history of heart failure. Those without a prior CV event were younger with a shorter duration of diabetes and better renal function than those with at least one prior CV event. Compared with prior GLP-1RA trials, EXSCEL has a larger percentage of patients without a prior CV event and a notable percentage who were taking a dipeptidyl peptidase-4 inhibitor at baseline (15%).

Conclusions EXSCEL is one of the largest global GLP-1RA trials, evaluating the safety and efficacy of EQW with a broad patient population that may extend generalizability compared to prior GLP-1RA trials (ClinicalTrials.gov number, NCT01144338). (*Am Heart J* 2017;187:1-9.)

Incretin-based medications including glucagon-like peptide-1 receptor agonists (GLP-1RAs) are routinely used for the treatment of type 2 diabetes mellitus

(T2DM).¹ These medications effectively regulate glucose metabolism and have favorable effects on the myocardium and vascular system.² GLP-1RAs may also have additional cardioprotective effects such as weight loss.³ Evidence from randomized clinical trials supports the cardiovascular safety of GLP-1RAs⁴ and recent clinical trial data with GLP-1RAs have shown an improvement in major adverse cardiac events (MACE) including cardiovascular death, non-fatal myocardial infarction (MI) and non-fatal stroke.^{5,6} However, a prior GLP-1RA trial was neutral with respect to MACE⁴ suggesting the lack of a class effect and highlighting the need for empiric evaluation of different agents in this class.

The EXSCEL trial is an academically led, placebo-controlled randomized trial of the GLP-1RA exenatide-once-weekly (EQW) in patients with T2DM⁷ that has recently completed enrollment. This is a pragmatic trial⁸ designed to assess the intervention in a real-world setting with broad entry criteria and streamlined trial conduct (eg, study visits every 6 months, laboratory evaluations as part of routine care) to enhance generalizability. The primary objective of EXSCEL is

From the ^aDuke Clinical Research Institute, Durham, NC, ^bDiabetes Trials Unit, University of Oxford, Oxford, UK, ^cAstraZeneca Research and Development, Gaithersburg, MD, ^dUniversity of North Carolina at Chapel Hill, Chapel Hill, NC, ^eThe Chinese University of Hong Kong, Hong Kong, SAR, China, ^fANMCO Research Centre, Florence, Italy, ^gUniversity of Texas Southwestern Medical Center, Dallas, TX, ^hInternational Centre for Circulatory Health, NHLI, Imperial College London, London, UK, ⁱIndia Diabetes Research Foundation and Dr. A. Ramachandran's Diabetes Hospitals, Chennai, India, and ^jLunenfeld Tanenbaum Research Institute, Mount Sinai Hospital and University of Toronto, Toronto, Ontario, Canada.
RCT# NCT01144338

Frederick A Masoudi, MD, MSPH served as guest editor for this article.

Funding: The study is sponsored and funded by Amylin Pharmaceuticals Inc. (San Diego, CA), a wholly owned subsidiary of AstraZeneca (Gaithersburg, MD). Previous sponsors have included Eli Lilly and Company (Indianapolis, IN), and Bristol-Myers Squibb (Princeton, NJ). Submitted December 15, 2016; accepted February 8, 2017.

Reprint requests: Robert J. Mentz, MD, Duke Clinical Research Institute, PO Box 17969, Durham, NC 27715.

E-mail: robert.mentz@duke.edu

0002-8703

© 2017 Elsevier Inc. All rights reserved.

<http://dx.doi.org/10.1016/j.ahj.2017.02.005>

to evaluate the effect of EQW, used in addition to the current usual care for glycemic control and other CV risk factors, on major macrovascular events when administered to patients with T2DM. The study includes both a primary safety hypothesis, that EQW is non-inferior to usual care for MACE, and a primary efficacy hypothesis, that EQW is superior to usual care for MACE.

EXSCEL is unique in that it enrolled patients with a broad range of cardiovascular risk (including those without a prior cardiovascular event) and was not enriched for older people or other specific cardiovascular risk factors, allowed dual usage of incretin therapies [GLP-1 agonist investigational product and dipeptidyl peptidase-4 (DPP-4) inhibitor use] and did not include a run-in period often included to select more compliant patients than in routine practice. Additionally, there are both primary safety and efficacy hypotheses in EXSCEL in contrast to many other large T2DM cardiovascular outcomes trials with only a primary hypothesis of non-inferiority.^{4,5} In the present manuscript, we describe the baseline characteristics of EXSCEL participants according to their baseline prior cardiovascular event status and enrolling region and compare patients with those enrolled in prior large GLP-1RA trials.

Methods

The EXSCEL trial is an ongoing, multinational, double-blind, placebo-controlled, randomized trial of the once-weekly GLP-1RA exenatide (EQW) at a dose of 2 mg in addition to usual care for T2DM. The study background and design has been previously published.⁷ In brief, the trial enrolled participants with a broad range of cardiovascular risk, from 688 sites in 35 countries from North America, Latin America, Europe, and Asia Pacific. Randomization targeted approximately 70% with a history of a cardiovascular event and 30% without known cardiovascular disease as detailed in the design manuscript.⁷ In brief, a prior cardiovascular event was defined as at least one of the following: a major clinical manifestation of coronary artery disease (CAD), ischemic cerebrovascular disease or atherosclerotic peripheral arterial disease (PAD). The trial has completed recruitment and is currently in the follow-up phase until the target event number of 1360 subjects with a confirmed primary composite outcome, defined as cardiovascular death, nonfatal myocardial infarction, or nonfatal stroke, has accrued.

In the present manuscript, baseline characteristics are presented in a blinded manner for the entire trial population according to prior cardiovascular event status at enrollment and by region. Regions were defined a priori (see Supplemental Table I for countries within each region) and broadly conform to regions defined in other studies in this field to facilitate comparison. We also compare EXSCEL patients with those enrolled in prior large GLP-1RA trials.^{4,6}

No extramural funding was used to support this work. The authors are solely responsible for the design and conduct of this study, all study analyses, the drafting and editing of the manuscript, and its final contents.

Results

Overall, 14,752 participants were randomized between June 2010 and September 2015. The baseline characteristics of the full population are presented in Table I. The majority of patients enrolled in EXSCEL were white (75.8%) with inclusion of 9.8% Asian and 6.0% Black. With regard to ethnicity, 3028 patients (20.5%) reported being Hispanic or Latino. The median (IQR) age was 63 years (56, 69), 38% were female, and 16% had a prior history of heart failure (HF). The median (IQR) HbA1c, diabetes duration, and body mass index (BMI) were 8.0% (7.3, 8.9), 12 (7, 18) years, and 32 (28, 36) kg/m², respectively. The most common anti-diabetic medication was metformin (76.5%) followed by insulin (46.2%) and sulfonylureas (36.6%), and DPP-4 inhibitors (14.9%). The baseline use of thiazolidinediones and SGLT-2 Inhibitors was low at 3.9% and 0.9%, respectively.

In terms of World region, 6,788 (46.0%) patients were enrolled in Europe; 3,708 (25.1%), North America; 2,727 (18.5%), Latin America; and 1529 (10.4%), Asia Pacific. Figure 1 shows the enrollment by regions in EXSCEL. Supplemental Table I presents the number of sites and patients enrolled in each country. Table I presents baseline characteristics by World region. Patients from North America tended to be older with a longer duration of DM, a higher BMI and more CAD compared to other regions. Nearly half of the patients from Latin America were women and PAD was more prevalent in Latin America than other regions, yet statin and anti-platelet use was the lowest. Patients from Asia Pacific had the lowest BMI and had less usage of angiotensin converting enzyme (ACE)-inhibitors and angiotensin receptor blockers (ARBs) compared to other regions. In Europe, patients had the highest baseline blood pressure and nearly a quarter of patients had a prior history of HF.

With regard to baseline cardiovascular disease, 73% had at least one prior cardiovascular event (70% CAD, 24% PAD, 22% cerebrovascular disease). Table II presents characteristics by baseline cardiovascular event status. The patients with a prior cardiovascular event tended to be older men with a longer duration of T2DM and worse renal function, but lower BMI and low-density lipoprotein (LDL) cholesterol, and similar blood pressure and HbA1c compared to those without known prior cardiovascular events at baseline. Compared to patients without a prior cardiovascular event, patients with a prior cardiovascular event had similar usage of DPP-4 inhibitors, less metformin and sulfonylurea use, and more insulin therapy. Evidence-based medications to address cardiovascular risk (eg, anti-hypertensive therapy, aspirin,

Table I. Baseline characteristics by enrolling region

	All Regions N = 14,752	North America N = 3708	Latin America N = 2727	Asia Pacific N = 1529	Europe N = 6788
Age (y)	62.7 (56.4, 68.8)	64.1 (57.4, 70.3)	61.5 (55.0, 68.0)	61.4 (55.1, 67.4)	62.7 (56.8, 68.6)
Min/max	21.1, 92.6	23.6, 89.9	24.4, 92.6	25.7, 87.9	21.1, 88.7
<40 y	205 (1.4%)	56 (1.5%)	55 (2.0%)	30 (2.0%)	64 (0.9%)
40 to <65 y	8606 (58.3%)	1912 (51.6%)	1689 (61.9%)	954 (62.4%)	4051 (59.7%)
65 to 75 y	4690 (31.8%)	1294 (34.9%)	777 (28.5%)	455 (29.8%)	2164 (31.9%)
>75 y	1251 (8.5%)	446 (12.0%)	206 (7.6%)	90 (5.9%)	509 (7.5%)
Women	5604 (38.0%)	1142 (30.8%)	1341 (49.2%)	574 (37.5%)	2547 (37.5%)
Race					
White	11,175 (75.8%)	2800 (75.6%)	1494 (54.8%)	300 (19.6%)	6581 (97.0%)
Asian	1452 (9.8%)	120 (3.2%)	7 (0.3%)	1212 (79.3%)	113 (1.7%)
Hispanic	1134 (7.7%)	329 (8.9%)	799 (29.3%)	0 (0.0%)	6 (0.1%)
Black	878 (6.0%)	430 (11.6%)	374 (13.8%)	1 (0.1%)	73 (1.1%)
Indian or Alaska Native	73 (0.5%)	12 (0.3%)	51 (1.9%)	0 (0.0%)	10 (0.1%)
Pacific Islander or Hawaiian	35 (0.2%)	14 (0.4%)	1 (0.0%)	16 (1.0%)	4 (0.1%)
Ethnicity					
Hispanic or Latino	3026 (20.5%)	366 (9.9%)	2564 (94.0%)	7 (0.5%)	89 (1.3%)
Non-Hispanic or Latino	11,724 (79.5%)	3340 (90.1%)	163 (6.0%)	1522 (99.5%)	6699 (98.7%)
Diabetes duration (y)	12 (7, 18)	13 (7, 19)	11 (6, 18)	11 (7, 18)	11 (7, 17)
<5 y	2018 (13.7%)	489 (13.2%)	454 (16.7%)	207 (13.6%)	868 (12.8%)
≥5 to <15 y	7264 (49.4%)	1693 (45.9%)	1248 (45.8%)	761 (50.0%)	3562 (52.7%)
≥15 y	5420 (36.9%)	1510 (40.9%)	1022 (37.5%)	554 (36.4%)	2334 (34.5%)
History of cardiovascular disease					
Coronary artery disease	7501 (69.6%)	2307 (86.7%)	1083 (52.1%)	811 (70.8%)	3300 (67.4%)
Cerebrovascular disease	2419 (22.4%)	538 (20.2%)	267 (12.8%)	302 (26.4%)	1312 (26.8%)
Peripheral artery disease	2540 (23.6%)	368 (13.8%)	918 (44.1%)	151 (13.2%)	1103 (22.5%)
History of retinopathy	2511 (17.0%)	465 (12.5%)	347 (12.7%)	242 (15.8%)	1457 (21.5%)
History of heart failure	2385 (16.2%)	403 (10.9%)	241 (8.8%)	77 (5.0%)	1664 (24.5%)
NYHA class					
I	736 (30.9%)	143 (35.6%)	106 (44.0%)	44 (57.1%)	443 (26.7%)
II	1333 (55.9%)	204 (50.7%)	114 (47.3%)	28 (36.4%)	987 (59.4%)
III	301 (12.6%)	51 (12.4%)	19 (7.9%)	5 (6.5%)	226 (13.6%)
IV	13 (0.5%)	5 (1.2%)	2 (0.8%)	0 (0.0%)	6 (0.4%)
Most recent assessment of LV function (ejection fraction)					
Normal (>55%)	2924 (59.8%)	911 (60.2%)	331 (62.5%)	273 (66.1%)	1409 (57.9%)
Mild dysfunction (40-55%)	1497 (30.7%)	432 (28.5%)	135 (25.5%)	95 (23.0%)	835 (34.3%)
Moderate dysfunction (25-39%)	388 (7.9%)	134 (8.9%)	49 (9.2%)	36 (8.7%)	169 (7.0%)
Severe dysfunction (<25%)	81 (1.7%)	37 (2.4%)	15 (2.8%)	9 (2.2%)	20 (0.8%)
Systolic blood pressure (mmHg)	135 (124, 145)	130 (120, 140)	135 (122, 149)	131 (121, 144)	138 (129, 147)
Diastolic blood pressure (mmHg)	80 (70-85)	75 (68-81)	80 (71-86)	79 (70-84)	80 (73-86)
Heart rate (bpm)	72 (66, 80)	72 (64, 79)	72 (65, 80)	74 (68, 81)	72 (67, 80)
Body mass index (kg/m ²)	31.8 (28.2, 36.2)	33.6 (29.7, 38.3)	29.8 (26.8, 33.3)	27.3 (24.5, 31.2)	32.7 (29.4, 36.9)
<30 kg/m ²	5355 (36.7%)	976 (26.8%)	1388 (51.1%)	1036 (69.0%)	1955 (29.0%)
≥30 kg/m ²	9247 (63.3%)	2668 (73.2%)	1328 (48.9%)	465 (31.0%)	4786 (71.0%)
HbA1c (%)	8.0 (7.3, 8.9)	7.9 (7.3, 8.7)	8.1 (7.4, 9.1)	8.0 (7.4, 8.9)	8.0 (7.3, 8.9)
Min/max	5.6, 12.7	5.6, 12.7	5.9, 10.9	6.1, 12.6	6.0, 11.7
Mean (SD) in patients <8%	7.3 (0.4)	7.3 (0.4)	7.3 (0.4)	7.3 (0.4)	7.3 (0.4)
Mean (SD) in patients ≥8%	8.9 (0.6)	8.9 (0.6)	9.0 (0.6)	8.9 (0.7)	8.9 (0.6)
LDL (mg/dL)	88 (66, 116)	76 (60, 98)	100 (74, 128)	84 (65, 110)	93 (71, 124)
eGFR via MDRD (mL/min per 1.73 m ²)	79 (64, 96)	78 (62, 95)	78 (64, 93)	81 (64, 99)	81 (66, 96)
>90	5036 (34.2%)	1214 (32.7%)	854 (31.3%)	567 (37.1%)	2401 (35.4%)
60-89	6953 (47.2%)	1684 (45.4%)	1342 (49.2%)	687 (45.0%)	3240 (47.8%)
30-59	2743 (18.6%)	807 (21.8%)	527 (19.3%)	270 (17.7%)	1139 (16.8%)
Anti-DM therapies					
Metformin	11,289 (76.5%)	2617 (70.6%)	2250 (82.5%)	1164 (76.1%)	5258 (77.5%)
Sulfonylurea	5402 (36.6%)	1416 (38.2%)	1144 (42.0%)	659 (43.1%)	2183 (32.2%)
Thiazolidinedione	579 (3.9%)	342 (9.2%)	21 (0.8%)	74 (4.8%)	142 (2.1%)
DPP-4 inhibitor	2202 (14.9%)	641 (17.3%)	211 (7.7%)	240 (15.7%)	1110 (16.4%)
SGLT-2 inhibitor	77 (0.9%)	15 (0.9%)	10 (0.5%)	3 (0.3%)	49 (1.3%)

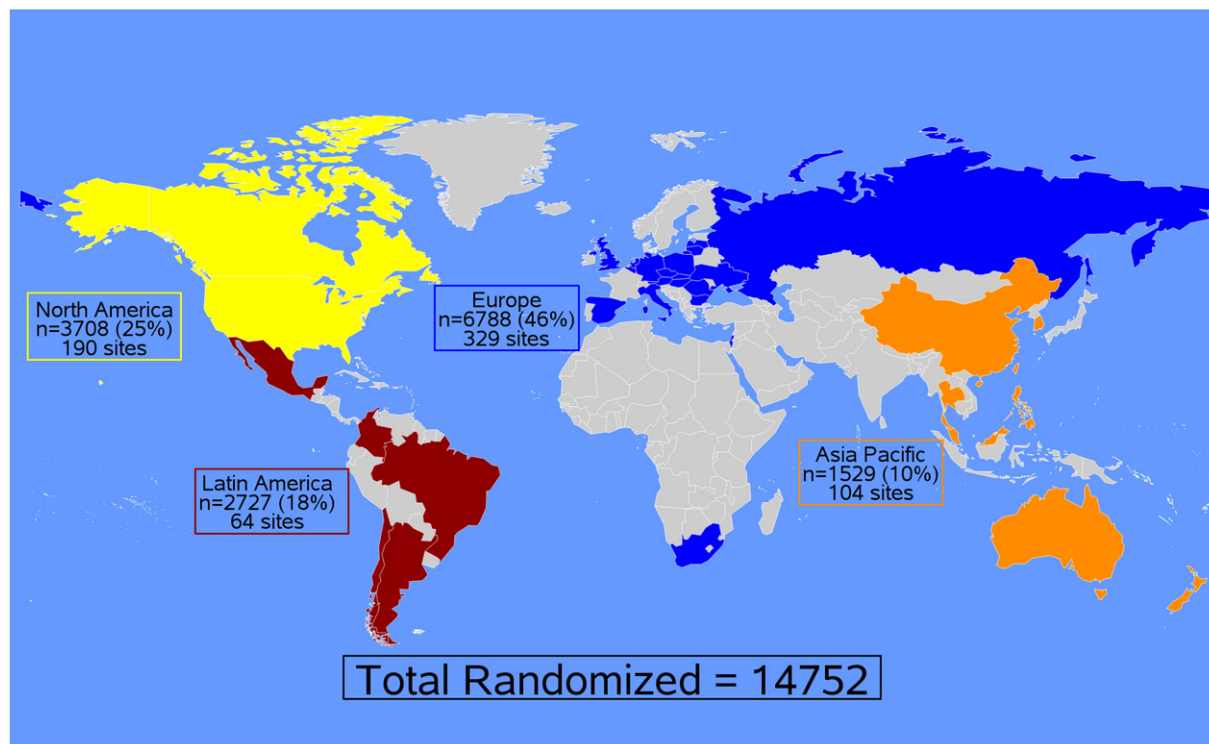
(continued on next page)

Table I (continued)

	All Regions N = 14,752	North America N = 3708	Latin America N = 2727	Asia Pacific N = 1529	Europe N = 6788
Insulin	6819 (46.2%)	1591 (42.9%)	1215 (44.6%)	698 (45.7%)	3315 (48.8%)
And 0-2 oral AHAs	6738 (45.7%)	1575 (42.5%)	1202 (44.1%)	689 (45.1%)	3272 (48.2%)
And >2 oral AHAs	81 (0.5%)	16 (0.4%)	13 (0.5%)	9 (0.6%)	43 (0.6%)
Monotherapy (including oral agents and insulin)	2687 (32.0%)	572 (33.7%)	640 (32.0%)	236 (25.0%)	1239 (33.0%)
2 oral therapies	1914 (46.8%)	338 (46.3%)	569 (53.7%)	195 (47.2%)	812 (43.1%)
No glucose lowering therapy	125 (1.5%)	35 (2.1%)	24 (1.2%)	18 (1.9%)	48 (1.3%)
Cardiovascular medications					
Aspirin	9372 (63.5%)	2685 (72.5%)	1594 (58.5%)	920 (60.2%)	4173 (61.5%)
Thienopyridines	2521 (17.1%)	730 (19.7%)	333 (12.2%)	322 (21.1%)	1136 (16.7%)
Any anti-platelets	10,310 (69.9%)	2871 (77.5%)	1659 (60.8%)	1089 (71.2%)	4691 (69.1%)
ACEI or ARB	11,386 (77.2%)	2881 (77.7%)	1938 (71.1%)	1029 (67.3%)	5538 (81.6%)
β-Blockers	8208 (55.6%)	2166 (58.4%)	1085 (39.8%)	714 (46.7%)	4243 (62.5%)
Calcium-channel blocker	4701 (31.9%)	1024 (27.6%)	609 (22.3%)	592 (38.7%)	2476 (36.5%)
Any anti-hypertensive	13,318 (90.3%)	3424 (92.4%)	2220 (81.4%)	1320 (86.3%)	6354 (93.6%)
Statin	10,836 (73.5%)	3094 (83.5%)	1617 (59.3%)	1143 (74.8%)	4982 (73.4%)
Any lipid-lowering Rx	11,364 (77.0%)	3210 (86.6%)	1746 (64.0%)	1197 (78.3%)	5211 (76.8%)

AHA, Anti-hyperglycemic agents; HbA1c, hemoglobin A1c; LDL, low density lipoprotein; eGFR, estimated glomerular filtration rate; MDRD, modification of diet in renal disease; DPP-IV, dipeptidyl peptidase; SGLT-2, sodium-glucose co-transporter 2; ACEI, angiotensin converting enzyme inhibitor; ARB, angiotensin receptor blocker.

Figure 1



Enrollment of EXSCEL trial participants by World Region.

statin) were used more frequently in the secondary prevention population. Nonetheless, the primary prevention population demonstrated 81% use of an anti-hypertensive, 57% statin use and 38% aspirin use at baseline.

Table III presents the EXSCEL design and baseline characteristics compared to prior cardiovascular outcomes studies with GLP-1RAs. Notable differences include the lack of an age criterion in EXSCEL and a primary efficacy hypothesis of superiority.

Table II. Baseline characteristics by baseline CV status

	No Prior Known CV Disease N = 3969	Prior CV Disease N = 10,781
Age (years)	59.4 (52.5, 65.7)	63.9 (57.9, 69.7)
Min/max	21.1, 92.6	25.7, 90.2
<40 y	150 (3.8%)	54 (0.5%)
40 to <65 y	2730 (68.8%)	5875 (54.5%)
65 to 75 y	902 (22.7%)	3788 (35.1%)
>75 y	187 (4.7%)	1064 (9.9%)
Women	2023 (51.0%)	3580 (33.2%)
Race		
White	3040 (76.6%)	8133 (75.5%)
Asian	327 (8.2%)	1125 (10.4%)
Hispanic	331 (8.3%)	803 (7.5%)
Black	251 (6.3%)	627 (5.8%)
Indian or Alaska Native	10 (0.3%)	63 (0.6%)
Pacific Islander or Hawaiian	9 (0.2%)	26 (0.2%)
Ethnicity		
Hispanic or Latino	755 (19.0%)	2271 (21.1%)
Non-Hispanic or Latino	3214 (81.0%)	8508 (78.9%)
DM duration (y)	10 (6, 15)	12 (7, 19)
<5 y	703 (17.7%)	1315 (12.2%)
≥5 to <15 y	2180 (55.0%)	5083 (47.3%)
≥15 y	1078 (27.2%)	4341 (40.4%)
History of retinopathy	467 (11.8%)	2044 (19.0%)
History of heart failure	321 (8.1%)	2064 (19.1%)
NYHA class		
I	119 (37.1%)	617 (29.9%)
II	172 (53.6%)	1161 (56.3%)
III	30 (9.3%)	271 (13.1%)
IV	0 (0.0%)	13 (0.6%)
Most recent assessment of LV function (ejection fraction)		
Normal (>55%)	477 (77.2%)	2447 (57.3%)
Mild dysfunction (40-55%)	118 (19.1%)	1379 (32.3%)
Moderate dysfunction (25-39%)	18 (2.9%)	370 (8.7%)
Severe dysfunction (<25%)	5 (0.8%)	76 (1.8%)
Systolic BP (mmHg)	134 (124, 144)	135 (124, 146)
Diastolic BP (mmHg)	80 (74-86)	79 (70-84)
Heart rate (bpm)	75 (68, 81)	72 (64, 79)
BMI (kg/m ²)	33.0 (29.1, 37.9)	31.4 (28.0, 35.6)
<30 kg/m ²	1213 (30.9%)	4142 (38.8%)
≥30 kg/m ²	2715 (69.1%)	6531 (61.2%)
HbA1c (%)	8.0 (7.4, 8.9)	8.0 (7.3, 8.9)
LDL (mg/dL)	99 (75, 126)	84 (64, 112)
eGFR via MDRD (mL/min per 1.73m ²)	85 (71, 103)	77 (62, 93)
>90	1718 (43.3%)	3317 (30.8%)
60-89	1774 (44.7%)	5179 (48.1%)
30-59	473 (11.9%)	2269 (21.1%)
Anti-DM therapies		
Metformin	3375 (85.1%)	7914 (73.4%)
Sulfonylurea	1708 (43.0%)	3694 (34.3%)
Thiazolidinedione	278 (7.0%)	301 (2.8%)
DPP-4 Inhibitor	692 (17.4%)	1510 (14.0%)
SGLT-2 Inhibitor	24 (1.4%)	53 (0.8%)
Insulin	1257 (31.7%)	5562 (51.6%)
And 0-2 oral AHAs	1240 (31.2%)	5498 (51.0%)
And >2 oral AHAs	17 (0.4%)	64 (0.6%)
Monotherapy (including oral agents and insulin)	444 (26.9%)	2243 (33.2%)
No glucose lowering therapy	37 (2.2%)	88 (1.3%)
Cardiovascular medications		
Aspirin	1525 (38.4%)	7847 (72.8%)
Thienopyridines	64 (1.6%)	2457 (22.8%)
Any anti-platelets	1600 (40.3%)	8710 (80.8%)
ACEI or ARB	2765 (69.7%)	8621 (80.0%)

(continued on next page)

Table II (continued)

	No Prior Known CV Disease N = 3969	Prior CV Disease N = 10,781
β-Blockers	1263 (31.8%)	6945 (64.4%)
Calcium channel blocker	1060 (26.7%)	3641 (33.8%)
Any anti-hypertensive	3197 (80.5%)	10,121 (93.9%)
Statin	2260 (56.9%)	8576 (79.5%)
Any lipid-lowering Rx	2473 (62.3%)	8891 (82.5%)

Discussion

The EXSCEL trial enrolled more than 14,000 patients worldwide in order to assess the safety and efficacy of the GLP-1RA EQW. Nearly half of the patients were enrolled in Europe with significant contributions from North America (25%), Latin America (19%), and Asia Pacific (10%). After completion of enrollment, there were notable differences between regions with respect to baseline characteristics and pharmacotherapy for both DM and cardiovascular disease. EXSCEL is one of the first large-scale outcome trials with a GLP-1RA to allow co-therapy with DPP-4 inhibitors and approximately 15% of patients received these agents at baseline. EXSCEL was also unique in the inclusion of patients with a broad range of cardiovascular risk including approximately 30% without known cardiovascular disease and nearly 50% on insulin at baseline.

EXSCEL has several distinct features related to the enrolled patient population compared to previous GLP-1RA outcomes trials (Table III). The ELIXA trial (Evaluation of Lixisenatide in Acute Coronary Syndrome) investigated the GLP-1RA lixisenatide compared with placebo in 6068 patients with an acute coronary syndrome (myocardial infarction in 83% and unstable angina in 17%) within the previous 180 days⁹. Thus, only secondary prevention patients were included in the trial. Patients in ELIXA were younger (60 vs 63 years) with lower BMI (30 vs 32 kg/m²) and shorter duration of diabetes (9 years vs 12 years) compared with patients in EXSCEL. ELIXA also incorporated a run-in period prior to randomization which selected for the more compliant patients, and thus may limit broader generalizability. ELIXA met its primary endpoint of non-inferiority for MACE-4 but not superiority over a median follow-up of 2.1 years.

The LEADER trial investigated liraglutide compared with placebo in 9340 diabetics at high risk for cardiovascular events.¹⁰ Patients were required to be ≥50 years at screening in contrast to EXSCEL which did not have an age criterion beyond adulthood (18 years). Overall, 81% of LEADER patients were identified as having prior cardiovascular disease and 19% were without prior cardiovascular disease compared to 27% without a prior cardiovascular event in EXSCEL. However, the definition

of prior cardiovascular disease in LEADER also included those with renal impairment or chronic HF in comparison to the requirement in EXSCEL for at least one of the following: CAD, PAD or ischemic cerebrovascular disease. Moreover, the LEADER trial enriched the primary prevention cohort through additional requirements (e.g., ≥60 years and concomitant disease history of either documented nephropathy, underlying cardiac abnormalities [left ventricular hypertrophy or ventricular dysfunction] or evidence of PAD). Similar to ELIXA, LEADER also incorporated a run-in period prior to randomization. This design characteristic as well as the risk factor enrichment for the subgroup without prior cardiovascular disease may limit generalization to the broader population of patients with type 2 diabetes.

Patients in LEADER had a similar mean age (64 years) and BMI (32.5 kg/m²) compared to the EXSCEL cohort but the baseline HbA1c was higher in LEADER (8.7% vs 8.0%). LEADER met its primary endpoint of non-inferiority for MACE-3 and also demonstrated superiority (HR 0.87, 95% CI: 0.78-97, *P* = .01 for superiority) over a median follow-up of 3.8 years. Therefore, LEADER was the first GLP-1 agonist outcome study to demonstrate superiority for cardiovascular outcomes.

The SUSTAIN-6 trial investigated the GLP-1RA semaglutide in 3297 patients with T2DM.⁶ Eligible patients were ≥50 years old with clinical evidence of cardiovascular disease or ≥60 years old with subclinical cardiovascular disease. The SUSTAIN-6 patient population had the oldest age of the GLP-1RA outcomes trials completed to date and had the longest duration of DM as well as the highest usage of insulin at baseline. With a 104-week treatment period, the trial met its primary endpoint of non-inferiority for MACE (HR 0.74; 95% CI: 0.58-0.95, *P* < .001) as well as a statistical significant reduction in CV risk with 254 primary MACE events. There was a significant reduction in non-fatal stroke, a numerical reduction in non-fatal MI and a neutral relationship with CV death. Thus, SUSTAIN-6 included an age entry criterion and targeted patients with known CV or kidney disease or CV risk factors with a modest event count over an observation period of approximately 2 years.

Importantly, certain effects of the GLP-1RAs appear to represent class effects while there are also unique aspects of their efficacy and safety that may differ within this class

Table III. Prior global cardiovascular outcomes trials for incretin-based therapies compared with EXSCEL

Design and baseline characteristics	ELIXA	LEADER	SUSTAIN-6	EXSCEL
Treatment	Lixisenatide	Liraglutide	Semaglutide	Exenatide
No. of patients	6068	9340	3297	14,752
No. of primary events	805	1302	254	Targeting 1360
Key entry criteria	A1c 5.5-11% ACS within 180 days ≥30 yo	A1c ≥7% Established CVD and/or multiple risk factors	A1c ≥7% Established CVD and/or multiple risk factors	A1c 6.5-10.0% Established CVD as well as primary prevention (27% enrolled)
Age criterion	≥30 y	≥50 years with CV disease, renal dysfunction or HF or ≥60 years with at least one additional high-risk feature	≥50 years with CVD or ≥60 years with subclinical CVD	No specific age criterion
Duration of follow up (median)	2.1 y	3.8 y	2.1 y	Pending
Baseline A1c (%)	7.7 ± 1.3 (active arm)	8.7 ± 1.5	8.7 ± 1.5	8.0 (7.3, 8.9)
Primary endpoint	MACE-4 (non-inferiority)	MACE-3 (non-inferiority)	MACE-3 (non-inferiority)	MACE-3 (superiority)/non-inferiority for safety
Results [HR (95% CI)]	HR 1.02 (0.89-1.17) P < .01 for NI P = NS for superiority	HR 0.87 (0.78-0.97) P < .001 for NI P = .01 for superiority	HR 0.74 (0.58-0.95) P < .001 for NI	Pending
Age (y)	60	64	65	63
Men	70%	64%	61%	62%
DM duration (y)	9.3	13	14	12
BMI (kg/m ²)	30	33	33	32
White	75%	78%	83%	76%
Asian	12%	10%	8%	10%
GFR (mL/min per 1.73 m ²)	75	NR	NR	79
Insulin	39%	42%	58%	46%
Metformin	66%	76%	73%	77%
SU	33%	51%	43%	37%
TZD	2%	6%	2%	4%
Aspirin	97% antiplatelet	63%	64%	64%
Statin	93%	72%	73%	74%
ACE-I/ARB	85%	51%/32%	50%/34%	77%

DM, Diabetes mellitus; BMI, body mass index; GFR, glomerular filtration rate; SU, sulfonylurea; TZD, thiazolidinedione; ACE-ARB, angiotensin converting enzyme inhibitor, angiotensin receptor blocker; GLP-1, glucagon-like peptide-1; DPP-4, dipeptidyl peptidase-4. MACE-3, CV-related death, non-fatal MI, or non-fatal stroke. MACE-4, CV-related death, non-fatal MI, non-fatal stroke, or UA requiring hospitalization.

as reviewed previously.¹¹ Overall, GLP-1RAs improve glycemic control while reducing body weight and systolic blood pressure. Moreover, due to their glucose-dependent mechanism, the incidence of hypoglycemia is relatively low with these treatments compared to other anti-hyperglycemic therapies. In terms of duration of action, there are currently short-acting formulations that are administered once or twice daily (eg, exenatide, liraglutide and lixisenatide) compared with long-acting formulations that are injected weekly (eg, exenatide, albiglutide, dulaglutide). Long-acting agents may produce superior glucose lowering with less nausea than the shorter acting therapies. Comparative effectiveness data with regard to clinical outcomes and safety are needed within this medication class.

Differences in baseline characteristics were observed across enrolling regions in EXSCEL. One notable international variation was the baseline prevalence of HF. The overall trial prevalence was 16% with regional values ranging from 5% in Asia Pacific to 25% in Europe. Importantly, HF was identified by patient-reported medical history at baseline (ie, including past and present HF) and did not require documentation of natriuretic peptide level or left ventricular ejection fraction. Prior studies in the HF literature have highlighted marked regional variation in HF diagnosis, severity and clinical outcomes.^{12,13} Information on baseline HF prevalence is particularly relevant given the uncertainty of the effects of incretin-based therapy in patients with HF. In the recent large-scale T2DM outcomes trial with the DPP-4

inhibitor saxagliptin (SAVOR), there were observations of increased hospitalization for HF.^{14,15} Increased hospitalization for HF has not been observed consistently with other DPP-4 inhibitors indicating the lack of a class effect. Specific data assessing HF effects with GLP-1RAs have also varied from no effect with lixisenatide⁴ or semaglutide⁶ to a nominal reduction in HF events with liraglutide.⁵ Reduced HF events were demonstrated with the sodium-glucose co-transporter 2 (SGLT2) inhibitor empagliflozin.^{16,17} Therefore, the HF-related findings from EXSCEL will provide much needed data regarding DM management in HF patients and/or those at increased risk for HF.

Limitations

Baseline characteristics were presented by world region using country groupings similar to prior cardiovascular outcome studies; however, there may be significant patient and provider level variation even within the same region. Additionally, baseline characteristics were presented by prior cardiovascular disease status at randomization, the classification of which was determined by site investigators using available data regarding patients' medical history. However, there is the potential for misclassification of cardiovascular risk given that source documentation was not required for this determination. Moreover, the trial was pragmatic in nature and baseline labs were documented from routine care and not specifically drawn for the study. Nonetheless, these data provide important insights regarding the patient population of the multinational EXSCEL trial.

Conclusions

EXSCEL is one of the largest GLP-1RA trials, evaluating the effect of once weekly exenatide on cardiovascular safety and efficacy. Nearly half of the patients were enrolled in Europe with significant contributions from North America, Latin America, and Asia Pacific. Unique characteristics include a substantial percentage of patients with no prior cardiovascular event, and a notable percentage who were taking a DPP-4 inhibitor at baseline. The pragmatic design will support the generalizability of the data due to the lack of an upper age limit, no run-in period, the inclusion of primary and secondary cardiovascular prevention patients, as well as a wide range of background DM medications.

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.ahj.2017.02.005>.

Disclosures

RJM receives research support from the National Institutes of Health (U10HL110312 and R01AG045551-01A1), AstraZeneca, Bristol-Myers Squibb, and GlaxoSmithKline; and has served on an advisory board for Boehringer Ingelheim. M.A.B. has

received research funding from Novartis and Bayer. SG, NI, and PO are employees of AstraZeneca. J.B.B. is an investigator and/or consultant without any direct financial benefit under contracts between his employer and the following companies: Amylin Pharmaceuticals, Inc., Andromeda, Astellas, AstraZeneca, Bayhill Therapeutics, Inc., Boehringer Ingelheim GmbH & Co KG, Bristol-Myers Squibb Company, Catabasis, Cebix, Inc., CureDM, Diartis Pharmaceuticals, Elcelyx Therapeutics, Inc., Eli Lilly and Company, Exsulin, Genentech, GI Dynamics, GlaxoSmithKline, Halozyne Therapeutics, F. Hoffmann-La Roche, Ltd., Intarcia Therapeutics, Johnson & Johnson, Lexicon, LipoScience, Macrogenics, Medtronic, Merck, Metabolic Solutions Development Co, Metabolon, Inc., Metavention, Novan, Novo Nordisk A/S, Orexigen Therapeutics, Inc., Osiris Therapeutics, Inc., Pfizer, Inc., Quest Diagnostics, Rhythm Pharmaceuticals, Sanofi, Spherix, Inc., Takeda, Tolerx, Transpharma Medical Ltd., TransTech Pharma, Veritas, and Verva. He is a paid consultant to PhaseBio Pharmaceuticals Inc. and has received stock options for that effort. J.C.C. received research grant and/or honoraria for consultancy and/or giving lectures from AstraZeneca, Bayer, Boehringer Ingelheim, Eli Lilly, GlaxoSmithKline, Merck Sharp & Dohme, Novo Nordisk, Pfizer, and/or Sanofi. All proceeds have been donated to the Chinese University of Hong Kong to support research and education. The Chinese University of Hong Kong has received research grants and sponsorships from these companies. A.P.M. reports personal honoraria for research activities from Novartis, Bayer, Pfizer, and Cardiorientis outside the submitted work. S.P.M. reports grants from The Medicines Company, Novo Nordisk, Abbott Vascular, Amylin Pharmaceuticals, Boston Scientific, Volcano Corporation, and Terumo Medical. He has received consulting fees from Novo Nordisk and St. Jude Medical. N.P. has received research grants from Servier and speaking honoraria from Servier, Novo Nordisk, and Amgen. A.R. received remuneration for Advisory board meetings from Merck, Sharp & Dohme, and AstraZeneca; honoraria for lectures from Bayer, Novo Nordisk, Eli Lilly, Merck, Sharp & Dohme, and Novartis; and research grant from Merck, Sharp & Dohme. B.Z. reports grants support from Merck, Boehringer Ingelheim, and Novartis. He serves on medical advisory boards for Eli Lilly, Merck, Novo Nordisk, Janssen, Takeda, and AstraZeneca. A.F.H. has received research grants from AstraZeneca, Bristol-Myers Squibb, Janssen, and Novartis and honoraria from Bristol-Myers Squibb and Janssen. R.R.H. has received research support from Bayer, BMS, and Merck; attended advisory boards with Amgen, Bayer, Elcelyx, Merck, Novartis, and Novo Nordisk; and given lectures supported by Bayer. The remaining authors report no conflicts of interest related to this manuscript.

References

1. Lovshin JA, Drucker DJ. Incretin-based therapies for type 2 diabetes mellitus. *Nat Rev Endocrinol* 2009;5(5):262-9.

2. Ussher JR, Drucker DJ. Cardiovascular actions of incretin-based therapies. *Circ Res* 2014;114(11):1788-803.
3. Waldrop G, Zhong J, Peters M, et al. Incretin-Based Therapy for Diabetes: What a Cardiologist Needs to Know. *J Am Coll Cardiol* 2016;67(12):1488-96.
4. Pfeffer MA, Claggett B, Diaz R, et al. Lixisenatide in Patients with Type 2 Diabetes and Acute Coronary Syndrome. *N Engl J Med* 2015;373(23):2247-57.
5. Marso SP, Daniels GH, Brown-Frandsen K, et al. Liraglutide and Cardiovascular Outcomes in Type 2 Diabetes. *N Engl J Med* 2016;375(4):311-22.
6. Marso SP, Bain SC, Consoli A, et al. Semaglutide and Cardiovascular Outcomes in Patients with Type 2 Diabetes. *N Engl J Med* 2016;375(19):1834-44.
7. Holman RR, Bethel MA, George J, et al. Rationale and design of the EXenatide Study of Cardiovascular Event Lowering (EXSCEL) trial. *Am Heart J* 2016;174:103-10.
8. Mentz RJ, Hernandez AF, Berdan LG, et al. Good Clinical Practice Guidance and Pragmatic Clinical Trials: Balancing the Best of Both Worlds. *Circulation* 2016;133(9):872-80.
9. Bentley-Lewis R, Aguilar D, Riddle MC, et al. Rationale, design, and baseline characteristics in Evaluation of LIXisenatide in Acute Coronary Syndrome, a long-term cardiovascular end point trial of lixisenatide versus placebo. *Am Heart J* 2015;169(5):631-638.e7.
10. Marso SP, Poulter NR, Nissen SE, et al. Design of the liraglutide effect and action in diabetes: evaluation of cardiovascular outcome results (LEADER) trial. *Am Heart J* 2013;166(5):23-30.e5.
11. Samson SL, Garber AJ. A Plethora of GLP-1 Agonists: Decisions About What to Use and When. *Curr Diab Rep* 2016;16(12):120.
12. Mentz RJ, Cotter G, Cleland JG, et al. International differences in clinical characteristics, management, and outcomes in acute heart failure patients: better short-term outcomes in patients enrolled in Eastern Europe and Russia in the PROTECT trial. *Eur J Heart Fail* 2014;16(6):614-24.
13. Pfeffer MA, Claggett B, Assmann SF, et al. Regional variation in patients and outcomes in the Treatment of Preserved Cardiac Function Heart Failure With an Aldosterone Antagonist (TOPCAT) trial. *Circulation* 2015;131(1):34-42.
14. Scirica BM, Bhatt DL, Braunwald E, et al. Saxagliptin and cardiovascular outcomes in patients with type 2 diabetes mellitus. *N Engl J Med* 2013;369(14):1317-26.
15. Scirica BM, Braunwald E, Raz I, et al. Heart Failure, Saxagliptin, and Diabetes Mellitus: Observations from the SAVOR-TIMI 53 Randomized Trial. *Circulation* 2015;132(15):e198.
16. Zinman B, Wanner C, Lachin JM, et al. Empagliflozin, Cardiovascular Outcomes, and Mortality in Type 2 Diabetes. *N Engl J Med* 2015;373(22):2117-28.
17. Fitchett D, Zinman B, Wanner C, et al. Heart failure outcomes with empagliflozin in patients with type 2 diabetes at high cardiovascular risk: results of the EMPA-REG OUTCOME(R) trial. *Eur Heart J* 2016;37(19):1526-34.